

Supplier Management Regulations

- 1. Corporate Standard Non-Commercial
Supplier Development**
- 2. Corporate Purchasing and Supplier
Management Policy**

	CORPORATE STANDARD DEVELOPMENT OF NON- COMMERCIAL SUPPLIERS	CODE	VERSION
		FIN-ADMIN-NC-020	04
		START DATE OF VALIDITY	END DATE OF VALIDITY
		28.11.2022	28.12.2029
PROCESSING MANAGEMENT	CORPORATE FINANCE DEPARTMENT		
ELABORATED BY	REVIEWED BY	APPROVED BY	
Maria Antonieta Sertzen	Carolina Navarro Sánchez Salazar	Patricia Gastelumendi Lukis	
SUPPLIERS EXECUTIVE	CORPORATE SERVICES & ENVIRONMENTAL ASSISTANT MANAGER	CORPORATE FINANCE MANAGER	

Developing our suppliers is a pillar of the supplier strategy and a responsibility for Ferreycorp; the aim is to improve their capabilities and performance, and in this way boost their growth and strengthen their competencies.

Ferreycorp and its subsidiaries, through their logistics and purchasing areas, execute and supervise action plans that guarantee working with companies that meet the standards required in their supplier strategy, which is based on four pillars: i) Strategic alliances and supplier management, ii) differentiated processes for different company sizes, iii) supplier development, and iv) promotion of a sustainable supply chain.

The corporation has a Supplier Circle made up of buyers from the different Ferreycorp companies, who share purchasing and supplier management practices to ensure that attention is paid to this important stakeholder group throughout the corporation, within a framework of compliance with due diligence and anti-corruption regulations.

1.Objective:

The objective of this corporate norm is to improve the quality and efficiency of the purchasing processes of Ferreycorp Corporation and its companies, strengthening the company's relationship with suppliers and building solid and trusting relationships with this important stakeholder group. In addition, the Sustainability of the company is ensured, by achieving that its entire supply chain contemplates good practices related to ESG variables, establishing methods for the evaluation, selection, registration, and homologation of non-commercial suppliers in accordance with the requirements established by Ferreycorp SAA.

2. Scope:

This norm applies to all personnel involved in the purchasing process of non-commercial goods and services. It is under the responsibility of the Corporate Finance Management of Ferreycorp SAA, through the Corporate Services and Environment Deputy Management. This regulation applies to Ferreycorp SAA and its subsidiary companies.

3. References:

-Corporate Norm for Works and Services of Contractors and Suppliers.

- Norm for the Selection of Permanent Third-Party Services.
- Corporate Work Standard Norm: Contractor and Third-Party Management.
- Supplier Code of Conducts.

In addition, Ferreycorp has a Compliance System for the prevention of acts of corruption and other crimes, whose norms include the relationship with suppliers:

- Corporate Code of Ethics.
- Corporate Compliance Policy.
- Corporate Norm on Giving and Receiving Gifts and Favors.
- Corporate Conflict of Interest Norm.
- Corporate Norm on the Control of Reputational and Corruption Risks in Business with Third Parties.
- Corporate Due Diligence Norm for Clients, Suppliers, and Collaborators.
- Procedure Manual for Non-Commercial Purchases.

4. Procedures:

4.1. Supplier Selection Process:

To select suppliers, Ferreycorp and/or its subsidiaries must necessarily conduct a bidding process, either through a private tender or an administrative procedure in which quotes are requested from several suppliers, in order to promote competition. As a general criterion, a minimum of three (3) quotes or technical/economic proposals from different suppliers must be submitted in order to always objectively choose the one that offers the best conditions for Ferreycorp and/or its subsidiaries. Due to materiality or the type of service quoted, the request for a contest with three bidders may be bypassed and reduced to two or even one, in the case of urgent or specialized services. These exceptions are registered in the Procedure Manual for Non-Commercial Purchases.

In private tenders for significant suppliers, selection criteria such as price, quality, delivery time, and good sustainability practices (environmental, social responsibility, and governance) are taken into account. On the other hand, financial and commercial aspects are considered, showing the relevance of the company.

In relevant cases, country, sector, and product risks are evaluated.

The corporation considers in its evaluation an additional score in the selection of its suppliers for those with better performance in ESG criteria. On the other hand, their importance within the supply chain and their impact on the business are considered. This differentiation allows companies to better identify and manage the risks and opportunities associated with their key suppliers.

4.2. Supplier Evaluation and development.

4.2.1 External and Internal Supplier Homologation Process:

The homologation process includes completing a homologation form where questions are asked in various areas such as legal, commercial, financial, ESG variables, etc., in addition to completing Due Diligence documents, such as the Supplier Sworn Statement and a Due Diligence Questionnaire, in accordance with the provisions of the Due Diligence Norm for Clients, Suppliers, and Collaborators.

Although not all suppliers currently have a valid homologation certificate, in the medium term it will be a requirement to have one in order to contract services or purchase products that are not part of the core business of the company (commercial purchases).

The requirement for a homologation to be external or internal will depend on what each company establishes based on the nature of the activities of each subsidiary, its legal requirements, the level of personnel movement within the facilities, and internal policies.

On the other hand, external homologations are based on certifications such as ISO 9001, ISO 45001, ISO 14001, as well as the Occupational Health and Safety Law (Law No. 29783) and are carried out by accredited independent third parties. These third-party companies generate a report on the status of each supplier and inform us which suppliers have low ratings and have not achieved a passing score. In this way, Ferreycorp follows up with this group of suppliers by including them in its Supplier Support programs, both in ESG and other subjects, where they are trained to pass homologation.

The fact that a supplier is homologated does not necessarily imply that goods and services must be purchased from said supplier.

Evaluations can be on-site or desk-based, depending on the criticality of the contracted services, location of services, etc.

Externally homologated suppliers receive a Homologation Certificate. To be considered suitable, they must achieve the minimum required score, and the validity will depend on the following table:

Between 76% to 80%	Every 2 years
Between 81% to 90%	Every 2 and a half years
Greater than 91% to 100%	Every 3 years

In the case of suppliers with internal homologations, these have a validity of one year.

4.2.1.2. Suppliers exempt from homologation

Suppliers detailed in Annex 1, attached to this norm, are exempt from filling out a homologation form with external companies and only need to meet certain established requirements. The Annex must be approved by the Corporate Finance Manager and/or the Corporate Ethics and Compliance Officer.

4.2.2 Supplier Evaluation as part of the Selection Process.

4.2.2.1. The requirements demanded by Ferreycorp Corporation to establish commercial relationships are:

To be companies that provide products of recognized quality, response capability, economic and financial solidity, compliance with legal, tax, and labor requirements, compliance with occupational health and safety standards, commitment to human rights principles, interest in corporate social responsibility, compliance with environmental standards, and implementation of a model for the prevention of corruption and other associated crime.

4.2.2.2. Supplier Due Diligence:

Suppliers must undergo general due diligence controls, which are set out in the Corporate Due Diligence Norm for Clients, Suppliers, and Collaborators GEN-GCAC-NC-008, regardless of the process each company has, seeking to prevent them from becoming involved in corruption issues or the commission of related crimes.

Companies must request due diligence documents from suppliers according to the details in Annex 1 of this norm.

4.2.2.3. Complementary measures that may be requested from local suppliers:

a) For local service providers, some of the following lists may be checked to ensure that the supplier does not present issues related to money laundering, drug trafficking, corruption, among others: United Nations Security Council Lists and the Clinton List issued by the Office of Foreign Assets Control (OFAC) of the United States Department of the Treasury.

All suppliers without exception must fill out the General Data form (either in the digital file or in a standalone form).

b) Unannounced visit by the purchasing, logistics, or audit area to the local supplier's facilities to evaluate compliance with safety requirements, response to risks and threats to the Supply Chain, as well as operational capacity.

c) Analysis of the supplier in a financial risk center, in order to verify its financial and equity capacity.

4.2.2.4. Complementary measures that may be requested from International suppliers:

a) For foreign service providers, some of the following lists may be checked to ensure that the supplier does not present issues related to money laundering, drug trafficking, corruption, etc.: United Nations Security Council lists and the Clinton List issued by the Office of Foreign Assets Control (OFAC) of the United States Department of the Treasury.

b) Perform an electronic search of references for the potential foreign supplier on institutional websites (chambers of commerce) and/or commercial web portals. Any finding of reports and/or news alerting to the foreign supplier's connection with illicit activities (drug trafficking, terrorism, terrorism financing, and/or money laundering) or fraud by the potential foreign supplier must be flagged. As evidence of the above, a screenshot of the internet search record for the potential foreign supplier's name must be taken.

4.2.2.5 Required Documentation to Register Suppliers in the System, once evaluated and selected:

In order to register a new supplier in the supplier master file, suppliers must submit the following documents:

Creditor Incorporation or General Data Format, Authorization for Personal Data Processing, acknowledgment of receipt of the corporate Supplier Code of Conduct, Due Diligence Questionnaire, Supplier Sworn Statement, among others.

Likewise, they must submit the following documents: RUC Certificate, MYPE company certificate, police and criminal record certificates, copies of DNI or foreigner ID cards of the legal representatives of the company, Certificate of Incorporation no older than 3 months, certificate of power of attorney validity for legal entities, in addition to other documents that may be required by Ferreycorp and/or its subsidiaries in accordance with their internal procedures.

If the company has a supplier portal, the supplier must obtain a username and password to register their invoices.

The purchasing area may choose to validate homologations carried out by other homologating companies or, depending on the supplier's risk, require a new homologation.

On the other hand, a supplier with a valid homologation certificate obtained with another group company remains valid and does not need to undergo homologation again to provide goods or services.

Range	Rating
90 – 100	Very good
75 – 90	Good
75 - 40	Fair
Less than 40	Poor

4.2.3. Supplier Performance Evaluation:

This stage is very important because it evaluates the performance of suppliers that have already been selected and supply goods or services to companies in the Ferreycorp group. This is an ex-post evaluation of suppliers with whom we currently have or previously had a commercial relationship.

Companies using SAP ERP must perform the supplier performance evaluation on the FIORI platform (a tool within SAP S/4HANA), after creating a standardized questionnaire. This questionnaire may vary for each company in the group, as requirements can be adjusted based on supplier criticality, service line, etc.

Companies that do not use the SAP S/4HANA system must conduct their evaluations using Google Forms or an Excel sheet.

In both cases, evaluations must include questions regarding quality of goods or

services, market prices, adherence to delivery deadlines, post-sales support, homologations, emergency response, quality/compliance ratio, among others.

Table of Scores for Fiori Supplier Evaluations:

4.2.4. Supplier development:

Given that Ferreycorp Corporation and its subsidiaries seek to maintain long-term relationships with this stakeholder group, they focus on supplier development through internal or external training and workshops. Training topics vary and aim to develop them in areas such as Sustainability, Environment, Ecodriving, Compliance, Waste Management, Health and Safety, among others. It even encourages them to publish sustainability reports and participate in anti-corruption certifications.

The Corporation focuses on ongoing collaboration with its suppliers, promoting good practices, support measures, and capability enhancements. Corporation companies must encourage continuous improvement among suppliers through training and development programs to enhance their competitiveness in the market.

For their part, from the planning stage, bidding, and execution of services, suppliers must take necessary measures to prevent occupational health and safety risks. For unavoidable risks, they must adopt appropriate prevention and safety measures to prevent injury and health deterioration of company employees as well as suppliers and contractors themselves, strictly adhering to the Health and Safety guidelines of the companies where services are delivered.

Among the good practices of the corporation's companies are periodic homologations that include ESG variables, guaranteeing that suppliers align with sustainable performance while complying with our Code of Conduct.

5. Responsibilities:

Ferreycorp is responsible for establishing the supplier strategy and recommending corporate guidelines. However, each operating company is responsible for complying with applicable regulations and has the autonomy to execute its own projects. The executive area responsible for implementing this norm is the Corporate Finance Management through the Corporate Services and Environment Deputy Management.

6. Exclusive Channel for Inquiries and Complaints:

Ferreycorp provides suppliers with an exclusive channel for handling inquiries, requests, and complaints related to their commercial relationship. This channel guarantees timely and transparent communication.

7. Supplier payment terms:

The payment period for suppliers across Ferreycorp Corporation and its subsidiaries is 30 days for MSEs (Mypes) and 60 days for non-MSE companies.

	Required documentation	Compliance System
Suppliers with regular external approval	Homologation Form Personal Data Authorization Corporate Supplier Code of Conduct Position, Reporting and/or searching for criminal complaints.	Supplier Affidavit Due Diligence Questionnaire

ANNEX 1

Suppliers exempt from the regular external homologation form	Documents that must be requested	Due Diligence Documents that must be requested	Suppliers who cannot be exempted from requesting all due diligence documents.
Urgent Purchases The following are considered urgent purchases: 1) Purchases made in response to a sudden situation that could affect the facilities and endanger the safety of personnel. 2) Purchases made when work needs to be completed quickly due to a last-minute need; this second case requires manager approval.	General data except for processors.		
Related companies	General Information		
Consulting firms: Consulting Support / Communications Support	General Information		Companies like Deloitte, EY, PwC Peru, and any other company that provides financial services in general are excluded from this list because it is a control measure to mitigate the commission of new crimes related to parallel accounting and tax offenses.
Audits and Certification Bodies	- General Information - Authorization for the use of personal data - Position in the Corporate Supplier Code of Conduct	- Supplier Affidavit - Due Diligence Questionnaire - Credit Report - Criminal complaint report and/or search (Compliance 360 or similar).	
Training	- General Information - Authorization for the use of personal data - Position in the Corporate Supplier Code of Conduct	- Supplier Affidavit - Due Diligence Questionnaire - Credit Report - Criminal complaint report and/or search (Compliance 360 or similar).	
Subscriptions, memberships and press releases	General Information		
One-time suppliers	- General Information - Authorization for the use of personal data - Position in the Corporate Supplier Code of Conduct	- Supplier Affidavit - Due Diligence Questionnaire - Credit Report - Criminal complaint report and/or search (Compliance 360 or similar).	With the exception of lawyers, notaries, advisors, consultants, accountants, auditors, customs agents, transporters of machinery and equipment that we market, processors and managers.
Less than S/ 5000 in annual purchases in the group	- General Information - Authorization for the use of personal data - Position in the Corporate Supplier Code of Conduct	- Reporting and/or searching for criminal/police complaints (Completion 360 similar).	* Annual purchases of products or services that do not exceed S/ 5,000 (Five Thousand with 00/100 New Soles) except for: lawyers, notaries, advisors, customs agents, consultants, accountants, auditors, transporters of machinery and equipment that we market, processors and managers.
Sponsorships, Events	- General Information - Authorization for the use of personal data - Position in the Corporate Supplier Code of Conduct	- Supplier Affidavit - Due Diligence Questionnaire - Credit Report - Criminal complaint report and/or search (Compliance 360 or similar).	
Courier	General information.		
Health service providers (EPS and ESSALUD)	General information.		
Individuals with and without a business	- General Information - Authorization for the use of personal data - Position in the Corporate Supplier Code of Conduct	- Supplier Affidavit - Due Diligence Questionnaire - Credit Report - Criminal/police and judicial background checks or the Single Labor Certificate with evidence of the aforementioned.	
Financial Entities, Stockbrokers, Pension Fund Administrators, Securities Market Providers (Cavalli and others).	General information.		
Digital platforms or search portals (for employment, background checks, among others)	General information		
Maritime, air and river transport service	General information		
Education Sector	General information		
Public Services: Electricity, water, municipalities, State entities, Sunat, INDECOPI	General information		
Medical and psychological examinations	General information	- Supplier Affidavit - Due Diligence Questionnaire - Credit Report - Criminal complaint report and/or search (Compliance 360 or similar).	
Risk rating agencies: Moodys / Pacific Credit Rating-PCR/ Standard & Poor's - S&P Global Ratings	General information		
Photography and printing services in general	General information		
Travel agencies in general	- General Information - Authorization for the use of personal data - Position in the Corporate Supplier Code of Conduct		
Taxi service in general	General information		
Large mining clients that provide any service/product	General information		
Foreign companies specializing in software	General information		

THIS DOCUMENT HAS BEEN AUTHORIZED IN THE NORMATIVE SYSTEM BY:

ROLE	NAME	POSITION	DATE
Preparer	Maria Sertzen Baca	Supplier Executive	Approved - 17/03/2026 16:11
Reviewer	Carolina Navarro Sanchez Salazar	Corporate Services and Environment Deputy Manager	Approved - 18/03/2026 12:55
Approver	Patricia Gastelumendi Lukis	Corporate Finance Manager	Approved - 18/03/2026 13:42

	Corporate Purchasing and Supplier Management Policy	CODE	VERSION
		FIN-ADMIN-PC-003	02
		START DATE OF VALIDITY	END DATE OF VALIDITY
		18/07/2023	18/07/2027
PROCESSING MANAGEMENT	Corporate Finance Management		
ELABORATED BY	REVIEWED BY	APPROVED BY	
Carolina Navarro	Patricia Gastelumendi	Mariela Garcia	
Corporate Services and Environment Sub-Manager	Corporate Finance Manager	General Manager	

1. Objective:

The purpose of this policy is to establish the guidelines and principles that guide supplier management and all aspects related to the process of acquiring goods and services at Ferreycorp Corporation and its subsidiaries. It establishes the principles and values that should govern relationships with suppliers, including their selection and purchasing decisions.

2. Reference or concordance

- GEN-GCAC-NC-001 - Corporate Standard on delivery and/or receipt of gifts, payments, favors and others.
- GEN-GCAC-NC-002 - Corporate Standard on conflict of interest.
- GEN-GCAC-NC-003 - Corporate Standard on business with government agencies and relationships with public officials.
- GEN-GCAC-NC-005 - Corporate Standard for controlling reputational and corruption risks in business with third parties.
- GEN-GCAC-NC-008 - Corporate Standard of Due Diligence on Customers, Suppliers and Collaborators.
- FIN-ADMIN-NC-016 - Corporate Standard for the Selection, Evaluation and Approval of Suppliers.
- FIN-ADMIN-PC-001 - Supplier Code of Conduct.
- FIN-ADMIN-MCO-001 - Non-commercial Purchasing Procedures Manual.
- FIN-ADMIN-NC-014 - Corporate Standard for the preparation of contracts with non-commercial suppliers.
- FIN-ADMIN-NG-001 - General Standard for Non-Commercial Purchasing and Supplier Management.

- GEN-GCRH-PC-010 - Corporate Policy on Integrated Safety, Health, and Environment.

3. Scope:

This corporate policy applies to all areas that contract suppliers of goods and services, such as the logistics, purchasing and general services areas of the corporation's companies, and includes all employees involved in the acquisition process.

4. Key Elements of the Purchasing Policy

The following are the key elements to be considered for supplier management within the process of supplying goods and services:

- 4.1. Transparency and competition: The purchasing policy establishes an open and objective selection process, encouraging competition among suppliers. This implies establishing clear and fair mechanisms for the evaluation and selection of suppliers.
- 4.2. Quality and service: The policy establishes that priority must be given to the quality of the goods or services purchased, as well as to the supplier's ability to provide excellent customer service. There are clear criteria for rating and evaluating suppliers based on their quality and performance.
- 4.3. Cost and conditions: The policy seeks to obtain the best value for the money invested, seeking competitive prices without compromising quality. Guidelines are established for the negotiation of prices and contractual conditions, as well as for the evaluation of the total cost of property for goods or services acquired. The corporation is committed to establish fair payment conditions and delivery terms that allow our suppliers to comply with basic labor standards.
- 4.4. Sustainability (ESG variables): The policy promotes the acquisition of goods and services that meet sustainability standards, such as fair labor practices, respect for the environment and compliance with ethical standards. ESG variables are also taken into account in contracts with suppliers, approval questionnaires, training and others.

- 4.5. Supplier management: The policy includes criteria and processes for the selection, evaluation and management of suppliers, including conducting periodic supplier evaluations, establishing contracts and promoting long-term relationships with reliable and ethical suppliers.
- 4.6. Zero tolerance to corruption, money laundering and financing of terrorism. For which we only have supplier approvals, which have information and declarations from suppliers. that include ESG variables. Ferreycorp's suppliers are required to comply with current regulations: Supplier Code of Conduct and the Purchasing and Supplier Policy, which are based on ethical values and basic principles such as respect for human rights. This compliance is verified through approval questionnaires.
- 4.7. Ongoing collaboration with suppliers and promotion of good practices, support measures and improvement of their capabilities. The corporation's companies must encourage the continual improvement of their suppliers through training and development programs, in order to improve their competitiveness in the market particularly for micro and small businesses.
- 4.8. Compliance with regulations and the necessary measures to avoid occupational health and safety risks, and in those risks that are considered unavoidable, adopt the necessary preventive and safety measures to prevent damage and deterioration of the health of the company's employees and of the suppliers and contractors themselves, for which they must strictly follow the Health and Safety guidelines of the companies where they will carry out the service.
- 4.9. Approvals are mandatory and periodic; through these, it is sought that suppliers are aligned with sustainable performance in addition to complying with our Code of Conduct. Approvals are corporate, so that suppliers do not have to undergo individual approval processes in two or more subsidiaries.

5. Responsibilities

Ferreycorp is responsible for establishing the policy, principles, and strategy for supplier relations, with corporate guidelines being mandatory for compliance.

Corporate Finance Management, through the Corporate Services and Environment Sub-Management, is responsible for executive direction, overseeing and monitoring the implementation of this policy both within the corporate entity and across all the corporation's companies. It is also responsible for deploying programs and initiatives with a cross-company reach across all the corporation's companies.

Each subsidiary company is responsible for complying with current regulations and may implement individual plans and actions, as well as leverage synergies with other companies and work on joint projects for supplier relations.

6. Complaints in the event of non-compliance with this Policy

Suppliers who become aware of a possible non-compliance with this policy must notify Ferreycorp, making use of the complaints channel, a tool set up to facilitate the confidential and secure formulation of any non-compliance with this Policy and the compliance rules covering all the corporation's companies.

- Website: https://www.ferreycorp.com.pe/canal_denuncias/
- E-mail: canaldedenuncias@ferreycorp.com.pe
- Telephone: 626-4110.
- Mailbox: Jr. Cristobal de Peralta Norte 820, Santiago de Surco.
- Personal interview with the Corporate Ethics and Compliance Officer.

These complaints will follow the same course of review and investigation as stipulated in the procedure mentioned in the previous paragraph.